

CITY COUNCIL
CITY AND COUNTY OF HONOLULU
530 SOUTH KING STREET, ROOM 202
HONOLULU, HAWAII 96813-3065
TELEPHONE: (808) 768-5010 • FAX: (808) 768-5011

ANN H. KOBAYASHI
COUNCILMEMBER, DISTRICT 5
CHAIR, COMMITTEE ON BUDGET
TELEPHONE: (808) 768-5005
FAX: (808) 768-1227
EMAIL: akobayashi@honolulu.gov

January 15, 2016

Mr. Daniel Grabauskas,
Executive Director and CEO
Honolulu Authority for Rapid Transportation (HART)
1099 Alakea Street, Suite 1700
Honolulu, Hawaii 96813

RECEIVED
CITY CLERK
C & C OF HONOLULU
2016 JAN 15 PM 3:48

RE: HART FY 2017 BUDGET BILLS

Dear Mr. Grabauskas,

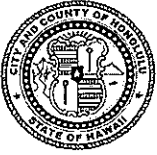
I am in receipt of your submittal of January 5, 2016 regarding HART's proposed budget bills (D-3 (2016)); however, I noticed numerous errors in your submittal. For example, the title of the HART's Operating Budget reads "A Bill for an Ordinance Relating to the Executive Operating Budget." Because I cannot submit these bill for introduction with these errors, I request that you resubmit your proposed bills with the following corrections:

- Correct titles;
- Nine signature lines for the Councilmembers;
- Exclusion of notations such as "proposed draft bill" or "attachment"; and
- Inclusion of all language, including all provisos, which are in the bills for the current fiscal year.

I also ask that you resubmit your proposals using the attached Excel file forms and provide the Council with the electronic files and hard copies of the bills by February 1, 2016, so I can properly transmit your proposals.

Sincerely,

ANN H. KOBAYASHI, Chair
Committee on Budget



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

A BILL FOR AN ORDINANCE

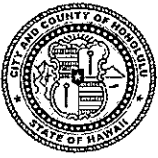
RELATING TO THE HONOLULU AUTHORITY FOR RAPID TRANSPORTATION OPERATING BUDGET FOR THE FISCAL YEAR JULY 1, 20XX TO JUNE 30, 20XX.

BE IT ORDAINED by the People of the City and County of Honolulu:

SECTION 1. The revenues from the following sources estimated for the fiscal year July 1, 20XX to June 30, 20XX are hereby provided and appropriated for the purposes set forth in Sections 2 through 3:

OPERATING FUNDS

<u>FUND CODE</u>	<u>SOURCE OF FUNDS</u>	<u>AMOUNT</u>	<u>LESS INTERFUND TRANSFER</u>	<u>NET AMOUNT</u>
GN	General Fund	\$0	\$0	\$0
TR	Transit Fund	0	0	0
TOTAL		<u>\$0</u>	<u>\$0</u>	<u>\$0</u>



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

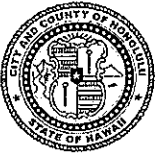
BILL _____

A BILL FOR AN ORDINANCE

SECTION 2. The monies described in Section 1 for the fiscal year July 1, 20XX to June 30, 20XX are appropriated as indicated to the following activities in the UTILITIES OR OTHER ENTERPRISES function.

FUNCTIONS, PROGRAMS & ACTIVITIES	NUMBER OF POSITIONS (F.T.E.)	SALARIES	CURRENT EXPENSES	EQUIPMENT	TOTAL ALL FUNDS	SOURCE OF FUNDS
UTILITIES OR OTHER ENTERPRISES						
MASS TRANSIT:						
Honolulu Authority for Rapid Transportation						
Rapid Transit	0.00	\$0	\$0	\$0	\$0	\$0 TR
TOTAL UTILITIES OR OTHER ENTERPRISES	0.00	\$0	\$0	\$0	\$0	\$0

UTILITIES OR OTHER ENTERPRISES	
SOURCE OF FUNDS	
GN General Fund	\$0
TR Transit Fund	0
TOTAL UTILITIES OR OTHER ENTERPRISES	\$0



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

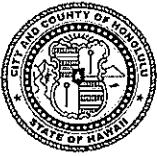
A BILL FOR AN ORDINANCE

SECTION 3. The monies described in Section 1 for the fiscal year July 1, 20XX to June 30, 20XX are appropriated as indicated to the following activities in the MISCELLANEOUS function.

FUNCTIONS, PROGRAMS & ACTIVITIES	NUMBER OF POSITIONS (F.T.E.)	SALARIES	CURRENT EXPENSES	EQUIPMENT	TOTAL ALL FUNDS	SOURCE OF FUNDS
<u>MISCELLANEOUS</u>						
RETIREMENT AND PENSION CONTRIBUTIONS:						
Retirement System Contributions -- Employer's Share for HART	0.00	\$0	\$0	\$0	\$0	\$0 TR
FICA Tax -- Employer's Share for HART	0.00	0	0	0	0	0 TR
Hawaii Employer-Union Health Benefits Trust Fund for HART	0.00	0	0	0	0	0 TR
OTHER MISCELLANEOUS						
Workers' Compensation for HART	0.00	0	0	0	0	0 TR
Unemployment Compensation for HART	0.00	0	0	0	0	0 TR
Provision for Other Post-Employment Benefits for HART	0.00	0	0	0	0	0 TR
TRANSFERS TO OTHER FUNDS:						
Transfer to General Fund for Central Administrative Services Expenses	0.00	0	0	0	0	0 TR
Transfer to General Fund for Services Provided to Honolulu Authority for Rapid Transportation	0.00	0	0	0	0	0 TR
Transfer to General Fund for Debt Service	0.00	0	0	0	0	0 TR
Transfer to Transit Fund	0.00	0	0	0	0	0 GN
TOTAL MISCELLANEOUS	0.00	\$0	\$0	\$0	\$0	\$0

**MISCELLANEOUS
SOURCE OF FUNDS**

GN	General Fund	\$0
TR	Transit Fund	0
TOTAL MISCELLANEOUS		\$0



CITY COUNCIL

CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

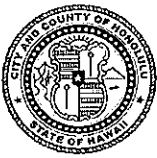
ORDINANCE _____

BILL _____

A BILL FOR AN ORDINANCE

SECTION 4. The sums appropriated above are totalled as follows:

FUND		GROSS APPROPRIATIONS		
<u>CODE</u>	<u>BY SOURCE OF FUNDS</u>	<u>AGENCY</u>	<u>MISCELLANEOUS</u>	<u>TOTAL</u>
GN	General Fund	\$0	\$0	\$0
TR	Transit Fund	0	0	0
TOTAL		\$0	\$0	\$0
<u>FUNCTION</u>		<u>TOTAL</u>	<u>INTERFUND TRANSFER</u>	<u>NET TOTAL</u>
Utilities or Other Enterprises		\$0	\$0	\$0
Miscellaneous		0	0	0
TOTAL		\$0	\$0	\$0



A BILL FOR AN ORDINANCE

SECTION 5. General Provisos.

(a) As used in this Ordinance:

"Authority" means the Honolulu Authority for Rapid Transportation.

"Council" means the Council of the City and County of Honolulu.

"Government" means the federal government, the State government, the government of any other state, any political subdivision of any state, or any quasi-governmental entity.

"ROH" means the Revised Ordinances of Honolulu 1990, as amended.

(b) Limited purpose monies. The Authority may receive monies whose use is specified or otherwise limited by the monies' source from: (1) any governmental or quasi-governmental agency (2) any private source including monetary gifts whose use is specified by the donor or (3) any combination thereof. When such monies are received, the Authority shall maintain special funds or accounts showing the monies so received and specifying the purposes for which they have been received and held.

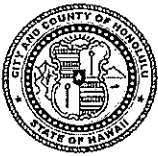
All such monies specified in Sections 2 and 3 of this ordinance are appropriated in the amounts and for the purposes set forth, and all expenditures shall be made as provided by law. All other such monies not specified in Sections 2 and 3 of this ordinance and which are limited purpose monies are hereby appropriated and may be expended by the Authority for their permitted limited purposes.

(c) At the close of each quarter, the Authority shall submit to the Council a Statement of Cash Balances by Fund showing for each quarter for each individual fund the cash balance at the start of the accounting period and the cash balance at the end of the month.

(d) No transfer of funds shall be made from any activity unless such transfer complies with the requirements set forth in ROH Section 2-17.2(c).

(e) If any portion of this ordinance or the application thereof to any person or circumstance is held to be invalid for any reason, the Council hereby declares that the remainder of this ordinance and all other provisions thereof shall not be affected thereby. If any portion of a specific appropriation is held to be invalid for any reason, the remaining portion shall be independent of the invalid portion and such remaining portion shall be expended to fulfill the objectives of such appropriation to the extent possible.

(f) No monies shall be expended by the Authority for any purpose from the transit fund or transit improvement bond fund, unless such monies are first appropriated by the Council.



CITY COUNCIL

CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

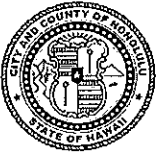
BILL _____

A BILL FOR AN ORDINANCE

SECTION 6. The detailed Statement of Revenues and Surplus contained in the Executive Operating Program for the Fiscal Year July 1, 20XX to June 30, 20XX, as transmitted to the Council in the Executive Program and Budget for the Fiscal Year 20XX, Volume 1 (Operating Program and Budget), and as amended in any Executive Operating Program amendments, is hereby incorporated by reference and adopted as an integral part of this ordinance. The detailed Statement of Revenues and Surplus contained therein may be further amended regarding any applicable fund by an amendment to the Executive Operating Budget ordinance, Executive Capital Budget ordinance, Legislative Budget ordinance, Honolulu Authority for Rapid Transportation Operating Budget ordinance, or Honolulu Authority for Rapid Transportation Capital Budget ordinance. In case of any conflict between the substantive provisions of the Executive Operating Program as transmitted and this ordinance or the above budget amendments, the provisions of this ordinance and any of the above budget amendment shall prevail.

SECTION 7. Should monies received from the State of Hawaii for collection of the county surcharge on state general excise and use tax exceed the amounts specified hereinbefore in Sections 1 and 3, the excess is hereby appropriated to the general fund and shall be transferred from the general fund to the transit fund. Within 14 days of the transfer, the Director of Budget and Fiscal Services shall report to the Council on the excess amount(s) appropriated and transferred to the transit fund.

SECTION 8. The amendments to the Honolulu Authority for Rapid Transportation Operating Budget ordinance, as may be attached hereto, are hereby incorporated by reference and adopted as an integral part of this ordinance.



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

A BILL FOR AN ORDINANCE

SECTION 9. This ordinance takes effect on July 1, 20XX.

INTRODUCED BY:

DATE OF INTRODUCTION

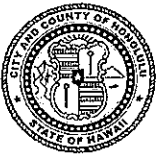
Honolulu, Hawaii

APPROVED AS TO FORM AND LEGALITY

Deputy Corporation Counsel

APPROVED this ____ day of _____, 20XX

KIRK CALDWELL, Mayor
City and County of Honolulu



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

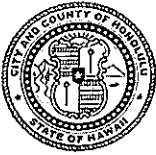
A BILL FOR AN ORDINANCE

RELATING TO THE HONOLULU AUTHORITY FOR RAPID TRANSPORTATION CAPITAL BUDGET FOR THE FISCAL YEAR JULY 1, 20XX TO JUNE 30, 20XX.

BE IT ORDAINED by the People of the City and County of Honolulu:

SECTION 1. The revenues from the following sources estimated for the fiscal year July 1, 20XX to June 30, 20XX are hereby provided and appropriated for the purposes set forth in Section 2:

FUND CODE	SOURCE OF FUNDS	AMOUNT	TOTAL
FEDERAL FUNDS			
FG	Federal Grants Fund	\$0	\$0
GENERAL OBLIGATION BONDS:			
TF	Transit Improvement Bond Fund	\$0	\$0
TRANSIT FUND:			
TR	Transit Fund	\$0	\$0
TOTAL ALL FUNDS			\$0



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

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A BILL FOR AN ORDINANCE

SECTION 2. The monies described in Section 1 for the fiscal year July 1, 20XX to June 30, 20XX are appropriated as indicated to the following projects and public improvements in the UTILITIES OR OTHER ENTERPRISES function. Nothing in this section shall be construed as restricting the allocation of monies among the work phase appropriations (e.g., planning, design, and construction).

PROJECT NUMBER	FUNCTIONS, PROGRAMS & PROJECTS	WORK PHASE	SOURCE OF FUNDS	TOTAL ALL FUNDS
<u>UTILITIES OR OTHER ENTERPRISES</u>				
MASS TRANSIT				
<u>HONOLULU AUTHORITY FOR RAPID TRANSPORTATION</u>				
2007005	HONOLULU HIGH CAPACITY TRANSIT PROJECT	0 L	0 FG	0
		0 P	0 TF	
	Plan, design, construct, inspect, relocate, acquire land,	0 D	0 TR	
	equipment and artwork for the Locally Preferred Alternative.	0 C		
		0 I		
		0 E		
		0 R		
		0 X		
		0 A		
TOTAL UTILITIES OR OTHER ENTERPRISES		\$0	\$0	\$0

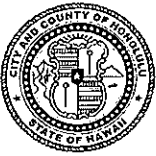
UTILITIES OR OTHER ENTERPRISES

SOURCE OF FUNDS

FG Federal Grants Fund	\$0
TF Transit Improvement Bond Fund	0
TR Transit Fund	0
TOTAL SOURCE OF FUNDS	\$0

WORK PHASE

L Land	\$0
P Planning	0
D Design	0
C Construction	0
I Inspection	0
E Equipment	0
R Relocation	0
X Other	0
A Art	0
TOTAL WORK PHASES	\$0



A BILL FOR AN ORDINANCE

SECTION 3. General Provisos.

(a) As used in this Ordinance:

"Authority" means the Honolulu Authority for Rapid Transportation.

"Council" means the Council of the City and County of Honolulu.

"Government" means the federal government, the State government, the government of any other state, any political subdivision of any state, or any quasi-governmental entity.

(b) Limited purpose monies. The Authority may receive monies whose use is specified or otherwise limited by the monies' source from: (1) any governmental or quasi-governmental agency; (2) any private source including monetary gifts whose use is specified by the donor; or (3) any combination thereof. When such monies are received, the Authority shall maintain special funds or accounts showing the monies so received and specifying the purposes for which they have been received and held.

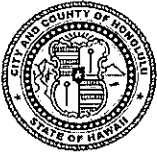
All such monies specified in Section 2 of this ordinance are appropriated in the amounts and for the purposes set forth, and all expenditures shall be made as provided by law. All other such monies not specified in Section 2 of this ordinance and which are limited purpose monies are hereby appropriated and may be expended by the Authority for their permitted limited purposes.

The Authority shall report to the Council no later than 30 days after June 30 detailing, for the capital budget fiscal year just ended, the amount of any excess monies received, and the function, program, and project to which the monies have been allotted for expenditure.

(c) At the close of the each quarter, the Authority shall submit to the Council a Statement of Cash Receipts and Disbursements showing for each quarter for each individual fund the cash balance at the start of the accounting period, the cash receipts and disbursements during the period, and the cash balance at the end of the month of each month of the quarter covered by the report.

(d) Any appropriation authorized in this ordinance or any amendment thereto shall be valid for the fiscal year July 1, 20XX to June 30, 20XX and 12 months thereafter. Any part of such appropriation which is not expended or encumbered shall lapse on June 30, 20XX.

(e) If any portion of this ordinance or the application thereof to any person or circumstance is held to be invalid for any reason, the Council hereby declares that the remainder of this ordinance and all other provisions thereof shall not be affected thereby. If any portion of a specific appropriation is held to be invalid for any reason, the remaining portion shall be independent of the invalid portion and such remaining portion shall be expended to fulfill the objectives of such appropriation to the extent possible.



CITY COUNCIL

CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

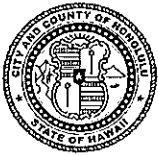
A BILL FOR AN ORDINANCE

(f) No monies shall be expended by the Authority for any purpose from the transit fund or transit improvement bond fund, unless such monies are first appropriated by the Council.

(g) The project number is included for accounting purposes only. If there is a conflict between the number and the project title in this ordinance, the project title shall prevail.

SECTION 4. The detailed Statement of Revenues and Surplus contained in the Executive Operating Program for the Fiscal Year July 1, 20XX to June 30, 20XX, as transmitted to the Council in the Executive Program and Budget for the Fiscal Year 20XX, Volume 1 (Operating Program and Budget), and as amended in any Executive Operating Program amendments, is hereby incorporated by reference and adopted as an integral part of this ordinance. The detailed Statement of Revenues and Surplus contained therein may be further amended regarding any applicable fund by any amendment to the Executive Operating Budget ordinance, Executive Capital Budget ordinance, Legislative Budget ordinance, Honolulu Authority for Rapid Transportation Operating Budget ordinance, or Honolulu Authority for Rapid Transportation Capital Budget ordinance. In case of any conflict between the substantive provisions of the Executive Operating Program as transmitted and this ordinance or the above budget amendments, the provisions of this ordinance and any of the above budget amendments shall prevail.

SECTION 5. The amendments to the Honolulu Authority for Rapid Transportation Capital Budget ordinance, as attached hereto, are hereby incorporated by reference and adopted as an integral part of this ordinance.



CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII

ORDINANCE _____

BILL _____

A BILL FOR AN ORDINANCE

SECTION 6. This ordinance takes effect on July 1, 20XX.

INTRODUCED BY:

DATE OF INTRODUCTION

Honolulu, Hawaii

APPROVED AS TO FORM AND LEGALITY

Deputy Corporation Counsel

APPROVED this ____ day of _____, 20XX

KIRK CALDWELL, Mayor
City and County of Honolulu